Multi-Agent Information Fusion: Methodology, Architecture and Software Tool for Learning of Object and Situation Assessment

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Abstract - The paper presents the developed design methodology, architecture, technology, and software tool supporting the design, implementation and deployment of multi-agent systems for object and situation assessment. The above issues are demonstrated through multi-agent anomaly detection system operating on the basis of multiple heterogeneous data sources including streams of temporal data.

Key words: Multi-agent system, information fusion, on-line update of situation assessment, software tool.

1 Introduction

JDL model considers hierarchy of tasks associated with situation awareness problem that concerns with in-depth comprehension, prediction, and management of what is going on within a system and/or environment of interest. Situational awareness is situation-centric problem, whose most important subtasks are Object Assessment (OA) referred to as Data Fusion and Situation Assessment (SA) referred to as Information Fusion. Both above subtasks are now of great concern and the subjects of intensive research.

Situation is understood as a complex system constituted of a set of semi-autonomous objects ("situation objects") having certain goals and operating to achieve a common goal. A "situation object" can be either "physical" (e.g., group of aircrafts involved in a mission), or "abstraction" (e.g., a component of software in which the traces of attacks are manifested). Any object and situation are characterized by "state" taking values from a finite set of labels. Both tasks, OA and SA, are classification tasks aiming to map labels to the current states of objects and situation respectively based on data perceived by multiple sensors.

Most of previous and current research deals with OA, whereas SA task is paid a noticeably less attention. This paper is devoted to both OA and SA tasks. Respectively, Sec. 2 discusses the distinctions between data models specifying states of situation objects and situation states and distinction between these data models. In sec. 3 the developed design and learning methodology aiming at engineering and implementation of both OA and SA systems is presented. The key procedure of this methodology is distributed learning of distributed classification, that is the core procedure of data and information fusion. Sec. 4 presents developed multi-agent architecture of a software system provided with learning capabilities and aiming at both OA and SA. Sec. 5 outlines the developed technology and software tool supporting design and implementation of multi-agent OA and SA systems called hereinafter multi-agent information fusion systems (IF MAS). The proposed methodology, architecture, technology and software tool were validated based on a case study that is anomaly detection in computer network, which is an application of great concern. Experimental results demonstrating proposed SA learning methodology are described in sec. 6. Conclusion outlines the paper novel results and future work.

2 Object and Situation Assessment

In general case difference between notions of "object" and "situation" is rather vague. For example, if we consider a multi-level data processing within a situational awareness problem an object of an intermediate data processing level can play the role of "situation" in respect to its adjacent lower level. To understand the difference between SA and OA tasks, it is necessary to compare the data models used for specifications of objects and situation states that, in turn, determine difference between OA and SA.

A situation state is constituted by states of situation objects and meaningful relationships among them. The existence of relationships between objects, whose values affect on the class of situation state, is the first essential distinctive feature of SA, since objects are normally specified only in terms of features. These relationships can have diverse nature: spatial, temporal, ordering, etc., and importance of relationships is highly application-dependent. Temporal relationships normally reflect events occurring with particular objects. Examples of such events are changing state of an object or an event occurring with a subset of situation objects (for example, completion of an aircraft refueling, location of a subset of situation objects in a predefined region, etc.). Other relationships among objects can concern their spatial configuration. In some cases dynamic relationships are of the most importance ("objects are approaching to each other"). The
The second important peculiarity of the situation data model is that information about situation objects is collected by different means and at different times. Situation is a notion of dynamic nature and that is why input information can have different time stamps. As a rule, objects possess different dynamics and therefore components of the collected information possess different lifetimes. Combining such information is a theoretical problem that is researched not well.

The third peculiarity of the data model specifying situation state is that input from different sources and different objects are not synchronized. Accordingly, instants of transitions of objects in new states can not coincide with the instants of SA update. This issue results in the fact that input data of SA system are represented as asynchronous data streams and at the time of SA update different components of data structure specifying situation state are marked by different time stamps. This can lead to the information ageing at the SA update times. In the simplified model, this case can be reduced to the tasks of SA training and update based on data with missing values.

Additionally, certain information can be not collected or lost. For example, airborne observation system can fail due to meteorological factors or masking. This makes the SA task especially "hard", because this factor increases the number of missing values. Thus, the data formal model specifying a snapshot of a situation state contains missing values.

Thus, it is necessary to consider the SA problem statement where situation state data model contains missing values what is much more complicated as compared with the currently used data models.

3 Methodology of Information Fusion and Information Fusion Learning

Information Fusion (IF) systems produce decisions based on input data distributed over many sources, measured in various scales, containing missing values, etc. These factors considerably influence on IF methodology. As a rule, knowledge used for IF is obtained via distributed data mining that is one of the most sophisticated task of IF methodology and technology. Experience shows that both tasks, learning of IF and IF procedure itself, are closely interconnected and must be considered in common at all steps of IF systems design. Let us outline the developed methodology of IF MAS, indicating how and in which order the design solutions are made.

1. Basic principle of data and information fusion. This principle determines how to allocate data and information processing functions to data source-based level and meta-level. There exist several approaches to information fusion [1]. In the developed methodology we use two-level information fusion structure where source-based mechanisms produce local classifications of situation states and then, these decisions are combined at meta-level. Such a model is advantageous in many respects, in particular, (1) considerably decreases communication overhead; (2) applicable in cases if data of particular sources are heterogeneous (to the upper level only the local decisions are forwarded, and they are either binary or categorical); (3) there exist effective and efficient algorithms for combining such decisions in upper level, and (4) it preserves the source data privacy.

2. Structure of data and information fusion in SA system. This structure is called hereinafter Information Fusion meta-model, IF meta-model. It comprises three types of structures that are (1) source-based decision making structures (practically, this can correspond to an OA task), (2) meta-model of decision combining, and (3) classification tree. Let us describe these structures and their compositions in IF meta-model.

It is supposed that source-based decision making structure ("source-based decision making tree") can contain one or several classifiers (base classifiers). Such classifiers produce decision on the basis of the same or different attributes of source. They can be trained through the same or different datasets. In this respect, the developed methodology admits to use a variety of approaches. In the simplest case if dimensionality of data source attribute vector is small and attributes are more or less homogeneous (e.g. they are measured either in numerical or in discrete scales) then it can be reasonable to use single base classifier, whose decision is forwarded to meta-level. In this case source-based decision making structure consists of single base classifier. In more complicate cases could be reasonable to use several base classifiers producing classifications based on different subsets of attributes, trained via use of different training datasets, etc. The decisions of these classifiers are forwarded to the meta-level for combining with decisions produced by base classifiers of other sources. An alternative is to combine them locally and forward the result to the meta-level.

Meta-model of decision combining is formed by the set of base classifiers of data sources, meta-classifier(s) and structure given over the aforementioned classifiers. In the meta-level, the system combines decisions received from source-based classifiers. An example of meta-model of decision combining is given in Fig.1 (lower part).

Meta-model of classification ("classification tree") is a component of IF meta-model that is used to reduce multiple classification task to a number of binary ("pairwise") classifications. The nodes of the classification tree that are not leaves are called meta-classes. An example of a classification tree of SA is given in Fig.1 (upper part). In this tree, the root node corresponds to the meta-class containing all possible situation states. Classification in this node discriminates situation states of classes 1 and 3 from those of classes 2 and 4, i.e. discriminates instances of meta-class 1 from those of meta-class 2. The above three structures compose what is called "IF meta-model" as follows. Each node of classification tree is mapped a particular classification task. It is solved according to a structure that is composed of decision making trees of sources and meta-model of decision combining. Such a
Knowledge base (KB) of IF system is distributed and we note that the structure of KB is definitely determined consists of ontology and KBs of particular classifiers. Let data when specifications of data of different sources can be independently developed by several experts and they can denote different notions by the same identifiers and be further combined. The second peculiarity of KB is that its distributed components can have heterogeneous representation structures what leads to the necessity to resolve several specific problems. The first of them is to provide IF system components with a shared thesaurus needed for monosemantic understanding of the shared notions. This problem arises in case of privacy of local data when specifications of data of different sources can be independently developed by several experts and they can denote different notions by the same identifiers and vice versa. Next problem called non-coherency of data measurement scales come out of the fact that the attributes of different sources can be overlapping and the same attribute can be measured differently in different sources. Nevertheless, they must be used equally in all IF procedures. That is why it is necessary to provide distributed data with consistent measurements. The third problem is called "entity instance identification problem" [1] and is entailed by the fact that complete specification of in input is composed of the fragments. Therefore, a mechanism to identify data fragments representing certain instance of a situation state is needed.

In the developed methodology the above problems are effectively resolved through ontology-centered distributed knowledge representation. The focus of this approach is to explicitly represent all the notions of IF KB and meaningful relationships among them as a top-level component of KB shared by all agents. This component of KB is called shared ontology. It provides consistent use and unique interpretations of terminology by all entities of IF system including consistent understanding of messages they exchange with.

4. Particular techniques used for engineering of IF system distributed KB. The developed methodology (and software tool supporting it) uses so far three different techniques for base and meta-classifiers training. They are destined for extraction of production and association rules from databases. Let us only indicate these techniques.

Visual Analytical Mining is a technique destined for extraction of production rules and/or decision trees from datasets containing numerical and/or linear ordered attributes [2]. It is capable to extract production rules specified in terms of the first order logic fragment without quantifiers. GK2 is a technique for extraction of production rules from data measured in discrete scales [3]. This technique is conceptually close to the well known AQ technique [4], but uses different algorithm for extraction of maximally general rules. It is also used for extraction rules from datasets with missing values. Also FP-grows algorithm for association rule mining is used.

The developed methodology admits to use two methods for learning of decision combining. They are (1) meta-learning algorithms based on stacked generalization and (2) Meta-learning algorithms based on classifiers' competence evaluation. The idea of the first one is to use the results of classifications (records of labels of classes) produced by base classifiers over a training dataset for training and testing of meta-classifier. The second, competence-based, method uses a procedure called "referee" associated with each classifier to assess its competence with regard to particular data instance. To provide the referee with such ability, a learning procedure is used.

5. Methodology of allocation of training and testing datasets to classifiers. In case of IF learning, this task possesses a number of peculiarities that are not pertained to usual training and testing. The first peculiarity is that in many cases several base classifiers may be required even to classify input of a single data source. Therefore, it is necessary to use special means to split training and testing dataset of a source to designate the samples of training and testing data to different base classifiers. This aspect is especially critical if the size of learning datasets is limited. In this case, an increase of decision making accuracy can be achieved through use of different learning techniques and respectively, several classifiers, which decisions must be further combined. The second peculiarity is caused by the necessity to use at least two-level SA scheme what requires reserving a certain part of learning dataset to produce meta-data used for meta-level learning.

The above peculiarities lead to the conclusion that allocation of training and testing datasets to classifiers and also training and testing of both base- and meta-level classifiers are very interdependent and therefore training and testing procedures must be coordinated in a certain way and managed accordingly. In the developed...
methodology such coordination is achieved by use of a number of predefined protocols.

4 Multi-agent Architecture

For implementation of the developed methodology of IF, multi-agent architecture is used. There are several reasons to prefer such architecture. Actually, multi-agent system (MAS) represents an advantageous paradigm for analysis, design and implementation of complex systems. It proposes powerful metaphors for distributed system analysis and design, techniques and technologies specifically destined for large scale intelligent systems.

IF systems definitely fall into the class of MAS applications. Indeed, each IF application is naturally distributed: data sources are distributed; data processing is performed in distributed manner; the systems and/or users using the results produced by an IF system are distributed. If data of different sources are private or classified (military, commercial, etc.) they are not available for a centralized processing. However, data holders can make these data available to agents processing private data without revealing its content. Additionally, IF systems are of large scale and naturally decomposable and thus they take the most of advantages provided by MAS approach. Recent researches show growing of popularity of multi-agent paradigm for IF systems and it assesses as a very promising architecture.

The developed architecture [5] comprises two types of components. The components of the first type operate with the source-based data and situated at the same hosts as the respective sources whereas he components of the second type operate with meta-information and can be situated in any host. Let us consider firstly the source-based components and their functionalities.

Data source managing agent
- Participates in the distributed design of the shared component of the application ontology;
- Collaborates with meta-level agents in management of training and testing of particular source-based classifiers and in forming meta-data sample;
- Supports gateway from ontology to databases through transformation of queries from the ontology language into, e.g., SQL language.

Data Source Knowledge Discovery (KDD) agent
It trains the source-based classification agents and assesses the resulting classifier’s quality.

Classification agents of data source
They produce decisions based on source data and are the subjects of training performed by KDD-agents.

Server of learning method comprising a multitude of classes implementing particular KDD methods.

The meta-level components of IF MAS and their functions are as described below.

Meta-Learning agent (“KDD Master”)
- Manages the distributed design of IF MAS application ontology;
- Computes meta-data sample;
- Manages design of meta-model of SA.

Meta-level KDD agent
- Trains and tests of meta-level classification agent and assesses its quality.

Decision making management agent
- Coordinates operation of Agent-classifier of meta-level and Meta-level KDD agent.

5 Technology and Software Tool

The developed technology for IF MAS design is supported by two components of the software tool developed by authors. The first of them, Multi-Agent System Development Kit (MASDK) [6], is used for design and implementation of application-independent components of applied IF MAS, whereas the second one, Information Fusion Design Toolkit (IFDT), is destined for design of its application-dependent components [5]. Thus, MASDK supports design and implementation of such IF MAS components: agent classes and its instances, problem and application ontology and communication environment. It is also used for design and implementation of the basic structures of data and knowledge bases of agents and their behavioral components represented in terms of state machines [6]. MASDK software is also responsible for deployment of the implemented IF MAS over given computer network.

IFDT software tool supports operations aiming at specialization of certain application-oriented components of IF MAS. The subjects of specialization are shared ontology, structure (meta-model) of distributed decision making (the latter also determines the structure of IF learning and decision making), and training and testing techniques selected for use in learning procedures [5, 7, 8].
It is supposed that IF MAS is designed in distributed mode by several designers. Coordination of their activities is supported by a special set of protocols. High-level view of this technology and respective sub-protocols used in subsequent design steps are presented in Fig. 2 in terms of standard IDEF0 diagram. It comprises sub-protocols ordered as indicated below:
A1. Distributed ontology design.
A2. Decision making and IF meta-model design.
A3. Distributed data mining.
A4. Monitoring of new data input from sources.
A5. Information fusion for situation assessment (distributed decision making).

The diagram presents interaction, intermediate and final results and activities order. The most important protocols are A1, A2 and A3 [5, 8].

6 Experimental Results: Multi-agent Anomaly Detection Learning System

Several case studies were used for validation of the developed methodology, multi-agent architecture, technology and software tool for learning of OA and SA. Some of them (mostly pertained to OA) were described in [5, 8]. Below we describe a case study, which differs in several aspects from the previously published. Particularly, this case study uses more data sources and training and testing datasets used are largely heterogeneous, for example, they include temporal data sequences to mine, the training and testing datasets contain up to 30% of missing values, etc. We talk about the task of learning of intrusion detection that is a task of great concern now. First, we describe the training and testing datasets and then present the results of testing of the developed and implemented IF MAS. For comparison, two data sets containing no and 30% of missing values were used.

6.1 Training and testing data sets

We considered anomaly detection task. Along with the dataset of instances of the security status “Normal”, the class “Abnormal” was considered. The dataset corresponding to the last case comprises the instances of four types of attack categories: Probing, Remote to local (R2L), Denial of service (DOS) and User to root (U2R). The examples of attacks of each type selected included in the case study are SYN-scan, FTP-crack, SYN flood, and PipeUpAdmin.

The following three data sources were used:
• network-based (input and output traffic),
• host-based (operating system log) and
• application-based (FTP-server log).

Data of each source are represented by four generic structures resulted from raw data processing. They are identical for all the sources:
1. Data streams of vectors of binary sequences specifying significant events of the respective level. Practically, this data structure specifies discrete binary time series. Mining of such data at source-based level was performed by use of a specific technique developed by authors that is based on correlation and regression ideas. The components of this vector in input traffic level are constituted by different parameters of packet headers. Features of operating system– and application–levels are constituted by the OS and FTP events numbers.
2. Statistical attributes of connections (sessions of users) manifested in a data source. As features of the traffic level we used, for example, length, status and total number of connection packets, etc. The set of features of OS and application levels includes the number of “hot indicators” (e.g., access to system directories, creation and execution of programs, etc.), number of failed logins, etc.
3. Statistical attributes of traffic during the short time intervals. The features of the traffic level include the numbers of connections and services of different types. The set of features of OS level includes selected processor queue length, number of threads in the computer, combined rate of file system read requests rate, at which packets are sent by the computer; percentage of elapsed time spent by processor to execute a non-Idle thread, etc. Features of application level are similar to ones corresponding to the above data structure.
4. Statistical attributes of traffic (users’ activity) for long time intervals. The set of features of the traffic level includes the total amount of different types of connections, whereas the sets of features corresponding to the OS and application levels are the same as for the short time interval (see above).

Data structures of the traffic level were produced via processing of tcpdump/windump data. TCPtrace utility was used, as well as several ad-hoc programs developed by authors. The data structures of the OS level were generated via processing of operating system log Security (for Windows 2000/XP). The data structures of application level (FTP-server) were produced on the basis of processing of FTP-server log.

Meta-classification procedure peculiarities are entailed by the fact that anomaly detection system is a real-time one and its base classifiers make their decisions regarding the status of the same user activity in asynchronous mode. This entails certain peculiarities of both training and testing meta-data sets and meta-classification procedure.
Let us consider the question of how meta-data are computed and what new problems have to be resolved in these computations. While using meta-classification approach, the meta-data are composed of the decisions of the base classifiers, which decisions are combined in meta-level by meta-classifier. Since IDS is a real-time system and outputs of classifiers are presented as flows of decisions, the time of occurrence of certain events can be used as an attribute needed for identification of the decisions that can be added to meta-data features. An event is understood as appearance of a new decision produced by base classifiers output decisions stream and this decision can be represented as a message with content \(<\text{Decision of base classifier } X, \text{Time}>\).

Each base classifier produces its decision at the time when it receives all the needed data. Other situation takes place for meta-classifier. Fig.3 demonstrates asynchronous nature of the arrivals of decisions produced by base classifiers: different base classifiers produce their decisions at different times. This entails the main specificity of decision combining in the SA task. To combine decisions having different time stamps, we can act in two modes: (1) to wait when all base classifiers produce the decisions and then combine them, or (2) update the combined decision when a new decision arrives. According to the real life requirements update of SA must be done on-line that is at the time when a new portion of input information is received by meta-classifier. Exactly this approach to assessment of the situation state was used in the developed software prototype of the anomaly detection system based on the developed case study.

We used two strategies of meta-classification based on decision streams produced by base classifiers. In both of them meta-classification took into account the fact that some of previously produced decisions are already non-relevant to the situation ("too archaic"). To take this fact into account, we introduced for the decisions of each base classifier so-called life time and if current time interval elapsed from the moment of its producing exceed this life time, then in the meta-data the value of this decision is reckoned as missing. Therefore, in this case meta-classification is performed based on data with missing values. In the second case we ignored ageing of the decisions of base classifiers and, thus, while performing meta-classification, we deal with complete vectors of decisions. It was done to compare the qualities of SA in both cases. Respectively, we describe below the results of two kinds of experiments.

### 6.2 Experiments description

The first type of experiments with the software prototype of intrusion detection system aiming at learning of anomaly detection and on-line SA update of the computer network security status considered training and testing based on datasets without missing values. In this case the finite value of "life time" of input was ignored.

The structure of the Decision making tree and properties of training and testing data of traffic level are given in Fig.4. In the meta-level the decisions produced based on particular data sources were combined by use of meta-classification.

The results of the first experiment with the developed multi-agent intrusion detection system (learned IF MAS) is presented in Fig.5 by histograms of probability of correct decision making with regard to status of security situation and also for false positives (false alarms) and false negatives (missing of signals). The presented results illustrate satisfactory performance quality of the designed IF MAS and quality of its learning.

It is worth to note that use of the developed methodology, technology and software tool presented in the paper made it possible to design and implement the above software prototype of anomaly detection system for less than 1 month effort of a qualified specialist.
In the second group of experiments we dealt with the same application and used the same architecture of decision making and combining as in the first group of experiments. The difference was that the training and testing datasets contained 30% of missing values resulting from the fact that input data was considered as asynchronous data stream with finite life time of input data at that this life tome was different for different data streams. Let us note that this case corresponds to a weakly explored scope from both learning and classification viewpoints.

General idea of the mining technique that was used in our experiments was developed by authors and published in [9]. Let us outline it. Let training dataset contain missing values. If we assigned all the missing values in the training dataset in a way, we would be able to extract rules by use of an existing algorithm (e.g. algorithm presented in [3] or in [4]). Different assignments of the negative and positive examples would lead to different sets of extracted rules. Different assignments of the positive examples will also lead to different values of coverage factors [3] of the extracted rules. It was strictly proved in [9] that for each positive example chosen as seed [3] there exist two special variants of the missing values assignments that correspond to extraction of two sets of maximally general rules and these sets can serve as lower and upper bounds for all sets of maximally general rules corresponding to all possible assignments of the given training dataset and given seed. These bound meet the following deducibility relations:

\[ Z_{low} \subseteq Z \subseteq Z_{upper}, \]

where \( Z_{low} \) – the lower bound of all the sets of maximally general rules; \( Z_{upper} \) – the upper bound of all the sets of maximally general rules, and \( Z \) – the set of maximally general rules for any arbitrary missing value assignments.

Informally, \( Z_{upper} \) bound corresponds to the "optimistic" assignment of the missing values, whereas \( Z_{low} \) corresponds to the "pessimistic" one. The motivation why they can be interpreted as optimistic and pessimistic assignment can be found in the paper of authors [9]. An algorithm for their computation was proposed in [9].

Thus, this idea and respective algorithm were used for learning and on-line update of the security status within the developed software prototype and in experiments based on use of training data with missing values. It is worthy to note that the training data model used in these experiments corresponds to the data model of a SA task but not OA (see sec. 2).

The results of testing of the resulting multi-agent anomaly detection system with the necessary explanations are presented in Fig.6 and Fig.7. These results demonstrate that even without any assumptions concerning missing values, the developed IF MAS can be satisfactory learnt.

It should be noted here that in this experiment we used only the traffic level data sources.

7 Conclusions

The paper novel contribution is the developed and completely implemented methodology, technology, multi-agent architecture, and software tool for design, implementation and deployment of Information Fusion systems aiming to solve the tasks of levels 1 and 2 of JDL model that are OA and SA tasks. The above methodology and software tool were validated via experimental exploration of the developed multi-agent anomaly detection system using multiple data sources. A novel result of the paper is also the proposed technique for on-line SA update if input is represented as asynchronous
data streams of decisions produced by source data based classifiers. The results presented justify positive evaluation of the proposed methodology, architecture, technology and software tool as applied to both OA and SA tasks.

Future research will concern the further validation of the proposed methodology and software tool on various applications from SA scope for more real-life models of inputs.

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